**FUND ECOMARKET – FILTER OPTIONS (August 2023)**

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| **SRI Policies and themes (fund specific**  | **Group**  |
| Sustainability policy  | Sustainability  |
| Environmental policy  | Sustainability  |
| Sustainability focus  | Sustainability  |
| Report against sustainability objectives  | Sustainability  |
| Limits exposure to carbon intensive industries  | Sustainability  |
| Environmental damage and pollution policy  | Sustainability  |
| Resource efficiency policy or theme  | Sustainability  |
| Favours cleaner, greener companies  | Sustainability  |
| Sustainability theme or focus  | Sustainability  |
| Sustainable transport policy or theme  | Sustainability  |
| Encourage more sustainable practices through stewardship  | Sustainability  |
| UN Global Compact linked exclusion policy  | Sustainability  |
| UN Sustainable Development Goals (SDG) focus  | Sustainability  |
| Circular economy theme (new)  | Sustainability  |
| Transition focus (new)  | Sustainability  |
| Waste Management policy or theme  | Sustainability  |
| E-waste policy (new)  | Sustainability  |
| Social policy  | Social / Employment  |
| Labour standards policy  | Social / Employment  |
| Favours companies with strong social policies  | Social / Employment  |
| Fast fashion exclusion  | Social / Employment  |
| Health & wellbeing policies or theme  | Social / Employment  |
| Diversity, Equality & Inclusion Policy (fund level)  | Social / Employment  |
| Responsible mining policy (new)  | Social / Employment  |
| Mining exclusion (new)  | Social / Employment  |
| Vulnerable/gig workers protection policy (new)  | Social / Employment  |
| Governance policy  | Governance & Management  |
| UN sanctions exclusion  | Governance & Management  |
| Avoids companies with poor governance  | Governance & Management  |
| Anti-bribery and corruption policy  | Governance & Management  |
| Digital/cyber security policy  | Governance & Management  |
| Encourage board diversity e.g. gender  | Governance & Management  |
| Encourage TCFD alignment for banks & insurance companies  | Governance & Management  |
| Encourage higher ESG standards through stewardship activity  | Governance & Management  |
| Require investee companies to report climate risk in R&A (new)  | Governance & Management  |
| Ethical policies  | Ethical Values Led Exclusions  |
| Tobacco production avoided  | Ethical Values Led Exclusions  |
| Armaments manufacturers avoided  | Ethical Values Led Exclusions  |
| Civilian firearms production exclusion  | Ethical Values Led Exclusions  |
| Alcohol production excluded  | Ethical Values Led Exclusions  |
| Gambling avoidance policy  | Ethical Values Led Exclusions  |
| Pornography avoidance policy  | Ethical Values Led Exclusions  |
| Gilts / government bonds - exclude some  | Ethical Values Led Exclusions  |
| Gilts/government bonds - exclude all  | Ethical Values Led Exclusions  |
| Animal welfare policy  | Ethical Values Led Exclusions  |
| Animal testing exclusion policy  | Ethical Values Led Exclusions  |
| Animal testing - excluded except if for medical purposes  | Ethical Values Led Exclusions  |
| Human rights policy  | Human Rights  |
| Child labour exclusion  | Human Rights  |
| Oppressive Regimes (not free or democratic) exclusion policy  | Human Rights  |
| Responsible supply chain policy or theme  | Human Rights  |
| Indigenous Peoples’ policy (new)  | Human Rights  |
| Modern Slavery exclusion policy (new)  | Human Rights  |
| LGBTQ+ policy (new)  | Human Rights  |
| Biodiversity/nature policy  | Nature & Biodiversity  |
| Nature / biodiversity based solutions theme  | Nature & Biodiversity  |
| Nature / biodiversity focus (new)  | Nature & Biodiversity  |
| Deforestation / palm oil policy  | Nature & Biodiversity  |
| Unsustainable / illegal deforestation exclusion policy  | Nature & Biodiversity  |
| Responsible Palm Oil policy (new)  | Nature & Biodiversity  |
| Blue economy theme or focus  | Nature & Biodiversity  |
| Sustainable fisheries policy (new)  | Nature & Biodiversity  |
| Plastics policy / reviewing plastics  | Nature & Biodiversity  |
| Avoids genetically modified seeds/crop production  | Nature & Biodiversity  |
| Genetic engineering exclusion (new)  | Nature & Biodiversity  |
| Nature/Biodiversity protection policy (new)  | Nature & Biodiversity  |
| Water stewardship policy (new)  | Nature & Biodiversity  |
| Invests >5% in sustainable bonds  | Targeted Positive Investments  |
| Invest >5% in transition bonds  | Targeted Positive Investments  |
| Invests >5% in the blue economy  | Targeted Positive Investments  |
| Invests >5% in green bonds  | Targeted Positive Investments  |
| Invests > 50% in green bonds  | Targeted Positive Investments  |
| Invests >25% of fund in environmental/social solutions companies  | Targeted Positive Investments  |
| Invests >50% of fund in environmental/social solutions companies  | Targeted Positive Investments  |
| EU Sustainable Finance Taxonomy holdings >25% of fund assets  | Targeted Positive Investments  |
| EU Sustainable Finance Taxonomy holdings 5-25% of fund assets  | Targeted Positive Investments  |
| Climate change / greenhouse gas emissions policy  | Climate Change & Energy  |
| Coal, oil &/or gas majors excluded  | Climate Change & Energy  |
| Fracking and tar sands excluded  | Climate Change & Energy  |
| Arctic drilling exclusion  | Climate Change & Energy  |
| Fossil fuel reserves exclusion  | Climate Change & Energy  |
| Clean / renewable energy theme or focus  | Climate Change & Energy  |
| Encourage transition to low carbon through stewardship activity  | Climate Change & Energy  |
| TCFD reporting requirement  | Climate Change & Energy  |
| Require net zero action plan from all/most companies  | Climate Change & Energy  |
| Energy efficiency theme  | Climate Change & Energy  |
| Invests in clean energy/renewables  | Climate Change & Energy  |
| Nuclear exclusion policy  | Climate Change & Energy  |
| Supply chain decarbonisation policy (new)  | Climate Change & Energy  |
| Hydrogen policy or theme (new)  | Climate Change & Energy  |
| Green/sustainable property strategy (new)  | Climate Change & Energy  |
| Fossil fuel exploration exclusion - direct involvement (new)  | Climate Change & Energy  |
| Fossil fuel exploration exclusion – indirect involvement (new)  | Climate Change & Energy  |
| Water / sanitation policy or theme  | Meeting Peoples' Basic Needs  |
| Demographic / ageing population theme  | Meeting Peoples' Basic Needs  |
| Invests in social property (freehold)  | Meeting Peoples' Basic Needs  |
| Invests >5% in social housing  | Meeting Peoples' Basic Needs  |
| Invests >5% in social bonds  | Meeting Peoples' Basic Needs  |
| Invests > 50% in social bonds  | Meeting Peoples' Basic Needs  |
| Invests >50% in social housing  | Meeting Peoples' Basic Needs  |
| Green infrastructure focus (new)  | Meeting Peoples' Basic Needs  |
| Plant based / smart food production theme (new)  | Meeting Peoples' Basic Needs  |
| Responsible food production or agriculture theme (new)  | Meeting Peoples' Basic Needs  |
| Healthcare / medical theme (new)  | Meeting Peoples' Basic Needs  |
| Antimicrobial resistance policy  | Meeting Peoples' Basic Needs  |
| Banking exclusion  | Banking & Financials  |
| Exclude banks with significant fossil fuel investments  | Banking & Financials  |
| Only invest in TCFD aligned banks / financial institutions  | Banking & Financials  |
| Financial institutions exclusion  | Banking & Financials  |
| Predatory lending exclusion  | Banking & Financials  |
| Exclude banks that finance fossil fuels extraction (new)  | Banking & Financials  |
| Employ external (fund) oversight or advisory committee  | Fund Governance  |
| External (fund) committee has veto powers  | Fund Governance  |
| ESG integration strategy  | Fund Governance  |
| ESG factors included in Assessment of Value (AoV) report  | Fund Governance  |
| Over 50% small/mid cap companies  | Asset Size & Metrics  |
| Over 50% large cap companies  | Asset Size & Metrics  |
| Invests in small, mid and large cap companies  | Asset Size & Metrics  |
| Invests mostly in large cap companies  | Asset Size & Metrics  |
| Paris aligned fund strategy  | Asset Size & Metrics  |
| Invest in supranationals (new)  | Asset Size & Metrics  |
| Positive selection bias  | How The Fund Works  |
| Negative selection bias  | How The Fund Works  |
| Strictly screened ethical fund  | How The Fund Works  |
| Limited/few ethical exclusions\*  | How The Fund Works  |
| Selection criteria/strategy may alter in adverse markets  | How The Fund Works  |
| Single resource theme or focus  | How The Fund Works  |
| ESG weighted / tilt  | How The Fund Works  |
| Data led strategy  | How The Fund Works  |
| Passive / index driven strategy  | How The Fund Works  |
| Significant Harm exclusion  | How The Fund Works  |
| Assets mapped to SDGs  | How The Fund Works  |
| Combines norms based exclusions with other SRI criteria  | How The Fund Works  |
| Combines ESG strategy with other SRI criteria  | How The Fund Works  |
| Balances company 'pros and cons'/best in sector  | How The Fund Works  |
| Norms focus  | How The Fund Works  |
| Focus on ESG risk mitigation  | How The Fund Works  |
| SRI/ESG/Ethical policies explained on website  | How The Fund Works  |
| All assets (except cash) meet published sustainability criteria (new)  | How The Fund Works  |
| Fund uses unscreened ‘diversifiers’ to help manage risk (new)  | How The Fund Works  |
| Different risk options of this strategy are available (new)  | How The Fund Works  |
| Participated in sustainability solutions IPOs or new issuances (new)  | How The Fund Works  |
| Converted from ‘non ESG’ strategy (new)  | How The Fund Works  |
| Aims to generate positive impacts (or 'outcomes')  | Impact Methodologies  |
| Measures positive impacts  | Impact Methodologies  |
| Described as an ‘impact investment fund’  | Impact Methodologies  |
| Positive environmental impact theme  | Impact Methodologies  |
| Positive social impact theme  | Impact Methodologies  |
| Invests in environmental solutions companies  | Impact Methodologies  |
| Invests in social solutions companies  | Impact Methodologies  |
| Invests in sustainability/ESG disruptors  | Impact Methodologies  |
| Aim to deliver positive impacts through engagement (new)  | Impact Methodologies  |
| Over 50% in assets providing env or social ‘solutions’ (new)  | Impact Methodologies  |
| RSMR Rated (OEIC funds only)  | Labels & Accreditations  |
| Eurosif Transparency  | Labels & Accreditations  |
| SFDR Article 8 fund / product (EU)  | Labels & Accreditations  |
| SFDR Article 9 fund / product (EU)  | Labels & Accreditations  |
| Intended for investors interested in ESG / sustainability  | Intended Clients & Product Options  |
| Intended for clients who want to have a positive impact  | Intended Clients & Product Options  |
| Intended for vegetarians and / or vegans  | Intended Clients & Product Options  |
| Faith friendly  | Intended Clients & Product Options  |
| Available via an ISA (OEIC only)  | Intended Clients & Product Options  |
| Portfolio SRI / ESG options available (DFMs)  | Intended Clients & Product Options  |
| Multiple SRI / ESG portfolio options available (DFMs)  | Intended Clients & Product Options  |
| Bespoke SRI/ ESG portfolios available (DFMs)  | Intended Clients & Product Options  |
| Boutique/specialist fund management company  | About The Business  |
| Specialist positive impact fund management company  | About The Business  |
| Responsible ownership / stewardship policy (AFM company wide)  | About The Business  |
| ESG/SRI engagement (AFM company wide)  | About The Business  |
| Vote all\* shares at AGMs/EGMs (AFM company wide)  | About The Business  |
| Responsible Ownership/ESG a key differentiator (AFM co. wide)  | About The Business  |
| Sustainable property strategy (AFM company wide)  | About The Business  |
| Senior management KPIs include environmental goals (AFM co wide)  | About The Business  |
| SDG aligned aims/objectives (AFM company wide)  | About The Business  |
| Responsible Ownership policy for non SRI funds (AFM co. wide)  | About The Business  |
| Integrates ESG factors into all/most fund research  | About The Business  |
| Inhouse diversity improvement programme (AFM company wide)  | About The Business  |
| Diversity, Equality & Inclusion engagement policy (AFM co. wide)  | About The Business  |
| Vulnerable client policy on website (AFM company wide) (new)  | About The Business  |
| Just Transition policy on website (AFM company wide) (new)  | About The Business  |
| Invests in newly listed companies (AFM company) (new)  | About The Business  |
| Invests in new sustainability linked bond issuances (AFM) (new)  | About The Business  |
| Structured intermediary training on sustainable investment (new)  | About The Business  |
| Unstructured intermediary sustainable investment training (new)  | About The Business  |
| In house responsible ownership/voting expertise  | Resources  |
| Employ specialist ESG/SRI/sustainability researchers  | Resources  |
| Use specialist ESG/SRI/sustainability research companies  | Resources  |
| ESG specialists on all investment desks (AFM company wide)  | Resources  |
| PRI signatory  | Collaborations & Affiliations  |
| UKSIF member  | Collaborations & Affiliations  |
| Climate Action 100+ or IIGCC member  | Collaborations & Affiliations  |
| Fund EcoMarket partner  | Collaborations & Affiliations  |
| UN Net Zero Asset Owners/Managers Alliance member  | Collaborations & Affiliations  |
| GFANZ member (AFM company wide)  | Collaborations & Affiliations  |
| UN Net Zero Banking Alliance member (AFM co. wide)  | Collaborations & Affiliations  |
| UN Principles of Responsible Banking framework signatory-co wide  | Collaborations & Affiliations  |
| TNFD forum member (AFM company wide)  | Collaborations & Affiliations  |
| Investment Association (IA) member (new)  | Collaborations & Affiliations  |
| B Corp certified (AFM company wide)  | Accreditations  |
| PRI A+ rated (AFM company wide)  | Accreditations  |
| UK Stewardship Code signatory (AFM company wide)  | Accreditations  |
| Regularly lead collaborative ESG initiatives (AFM company wide)  | Engagement Approach  |
| Encourage responsible corporate taxation (AFM company wide)  | Engagement Approach  |
| Engaging on climate change issues (new)  | Engagement Approach  |
| Engaging with fossil fuel companies on climate change (new)  | Engagement Approach  |
| Engaging to reduce plastics pollution/ waste (new)  | Engagement Approach  |
| Engaging to encourage responsible mining practices (new)  | Engagement Approach  |
| Engaging on biodiversity / nature issues (new)  | Engagement Approach  |
| Engaging to encourage a Just Transition (new)  | Engagement Approach  |
| Engaging on human rights issues (new)  | Engagement Approach  |
| Engaging on labour / employment issues (new)  | Engagement Approach  |
| Engaging on diversity, equality and/or inclusion issues (new)  | Engagement Approach  |
| Engaging on governance issues (new)  | Engagement Approach  |
| Engaging on mental health issues (new)  | Engagement Approach  |
| Engaging on responsible supply chain issues (new)  | Engagement Approach  |
| Controversial weapons avoidance policy (AFM company wide)  | Company Wide Exclusions  |
| Tobacco avoidance policy (AFM company wide)  | Company Wide Exclusions  |
| Fossil fuel exclusion policy (AFM company wide)  | Company Wide Exclusions  |
| Review(ing)carbon/fossil fuel exposure for all funds-AFM co wide  | Company Wide Exclusions  |
| Coal divestment policy (AFM company wide)  | Company Wide Exclusions  |
| Coal exclusion policy (group wide coal mining exclusion policy)  | Company Wide Exclusions  |
| Do not invest in companies with fossil fuel reserves (new)  | Company Wide Exclusions  |
| Net Zero commitment (AFM company wide)  | Climate & Net Zero Transition  |
| Voting policy includes net zero targets (AFM company wide)  | Climate & Net Zero Transition  |
| Publish 'CEO owned' Climate Risk policy (AFM company wide)  | Climate & Net Zero Transition  |
| Net Zero - have set a Net Zero target date (AFM company wide)  | Climate & Net Zero Transition  |
| Encourage carbon / greenhouse gas reduction (AFM company wide)  | Climate & Net Zero Transition  |
| Carbon transition plan published (AFM company wide)  | Climate & Net Zero Transition  |
| ‘Forward Looking Climate Metrics’ published / ITR (AFM co. wide)  | Climate & Net Zero Transition  |
| Carbon offsetting-as part of our net zero plan (AFM co. wide)  | Climate & Net Zero Transition  |
| Carbon offsetting–do NOT offset carbon as part of net zero plan  | Climate & Net Zero Transition  |
| In house carbon/GHG reduction policy (AFM co. wide)  | Climate & Net Zero Transition  |
| Working towards a ‘Net Zero’ commitment (AFM company wide)  | Climate & Net Zero Transition  |
| Committed to SBTi / Science Based Targets Initiative (new)  | Climate & Net Zero Transition  |
| Publish Responsible Ownership/Stewardship report (AFM co. wide)  | Transparency  |
| Full SRI policy information on company website  | Transparency  |
| Full SRI policy information available on request  | Transparency  |
| Publish full voting record (AFM company wide)  | Transparency  |
| Sustainability transition plan publicly available (AFM co. wide)  | Transparency  |
| Paris Alignment plan publicly available (AFM company wide)  | Transparency  |
| Net Zero transition plan publicly available (AFM co. wide)  | Transparency  |