SRI Services & Partners Annual Good Money Week Event

The Sustainable Investment event for financial advisers, planners and wealth managers

Event partners:

AEGON Asset Management
Alquity LIFE CHANGING INVESTMENTS
RBC BlueBay Asset Management
CCLA GOOD INVESTMENT
COLUMBIA THREADNEEDLE INVESTMENTS
Fidelity INTERNATIONAL
Greenbank
LIONTRUST COURAGE · POWER · PRIDE
Janus Henderson INVESTORS
M&G Investments
PICTET Asset Management
QUILTER CHEVIOT INVESTMENT MANAGEMENT
Rathbones
ROYAL LONDON ASSET MANAGEMENT
SARASIN & PARTNERS
Stewart Investors
Triodos Investment Management
Unicorn Investing with conviction
WHEB

Keynotes:

FCA FINANCIAL CONDUCT AUTHORITY
ClientEarth
ARIO

Part of:

UKSIF's Good Money Week

Organising partner:

RC RESET CONNECT

CPD accreditation:

CISI CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT ENDORSED CPD

sri services
fund eco market
DIALSHIFTER

Agenda

10.00 – 10:10: Welcome

10.10 – 10.30: UKSIF CEO James Alexander

10.30 – 10.45: Fund EcoMarket update

10.45 – 11.15: **MORNING KEYNOTE – Sara Woodroffe FCA Manager, ESG Policy and Advisory**

11.15 – 11.25: Discuss FCA developments

11.25 – 11.45: Coffee break

11.50 – 12.30: Fund Manager **Panel 1** – Investment selection, divestment and engagement are our dial shifting tools, but which should we use, when and why?

12.30 – 13.10: Fund Manager **Panel 2** – Measuring and managing ESG risks. What are the biggest risks we face and how helpful are ratings and data services?

13.10 – 14.15: **Lunch break 'Almost open mic'**

14.15 – 14.25: Welcome back

14.25 – 15.05: Fund Manager **Panel 3** – Shifting the circular economy dial – what are the challenges and opportunities?

15.05 – 15.45: Fund Manager **Panel 4** – If sustainable funds are to help deliver the positive impacts and outcomes many clients desire, asset selection is crucial.

15.45 – 16.05: Fund Manager **Panel 4** – If sustainable funds are to help deliver the positive impacts and outcomes many clients desire, asset selection is crucial.

15.45 – 16.05: Coffee break

16.05 – 16.35: **AFTERNOON KEYNOTE – Joanne Etherton, Head of Purposeful Markets at ClientEarth**

16.35 – 17.00: Fund Manager's share their stories **Panel 5**

17.00 – 17.15: **Expert presentation** – Mike Clark, Ario Advisory – An actuary's view of **Climate Risk**

17.15 – 17.30: 'Next Steps' and close

17.30 – 20.30: Drinks reception and canapes

Welcome Address

Julia Dreblow

Founding Director, SRI Services

Julia Dreblow
Founding Director
SRI Services

Julia has specialised in sustainable and responsible investment (SRI) for nearly three decades. She is a passionate advocate of the need for investors to pay far more attention to sustainability - believing that it is not only necessary, but financially sound - and what many clients want.

Julia has run SRI Services since 2010. SRI Services is primarily focused on helping retail financial services professionals to build sustainable investment into their businesses. Their focus is the open to all, 'whole of SRI market', Fund EcoMarket database tool - which is free to use thanks to fund partner support.
SRI Services also works closely with platforms and portfolio providers. Julia is on the FCA's Disclosure and Labels Advisory Group (DLAG) – helping shape the SDR. She is the technical author of the British Standards Institution's Fund PAS (BSI PAS 7342) which is due to publish in early 2024. Julia was also the lead author of the PIMFA ESG Academy and on the new PFS Sustainable Financial Advice Forum.

Julia previously worked for Friends Provident and NPI and served three terms as an UKSIF director.

Good Money Week Update

James Alexander

CEO, UKSIF

UKSIF

UK Sustainable Investment and Finance Association

James Alexander
CEO,
UKSIF

James Alexander joined UKSIF as Chief Executive in October 2020, with a strong vision and mandate to further enhance the organisation’s key role in promoting and expanding sustainable investment and finance in the UK. James has a background in international climate finance and infrastructure finance as well as many years’ experience in leadership roles in membership organisations. Most recently, James supported global megacities to overcome the substantial barriers to financing climate action as Director of the City Finance Programme at the C40 Cities Climate Leadership Group and Head of the C40 Cities Finance Facility – a project preparation facility he developed, now supporting cities across the world to structure nearly a billion dollars of sustainable infrastructure transactions. James has worked on international climate finance issues at the UN level and supported cities across the world to invest their pensions and reserves more sustainably.

James is Treasurer of Eurosif, the European Sustainable Investment Forum, a member of the Green Technical Advisory Group (GTAG) providing advice to the UK Government on implementing a UK green taxonomy and a member of the Disclosures and Labels Advisory Group (DLAG) providing advice to the FCA on the UK’s SDR and fund labelling regime.

Fund EcoMarket Improvements and beyond

Julia Dreblow
Founding Director,
SRI Services

Keynote Address

Sara Woodroffe

Manager ESG Policy & Advisory,

Financial Conduct Authority

FCA FINANCIAL CONDUCT AUTHORITY

Sara Woodroffe

Manager ESG Policy & Advisory,

Financial Conduct Authority

Sara manages the ESG divisions policy and advisory team – leading on several initiatives at the core of the FCA's ESG strategy. These include sustainable investment labelling and disclosure, data and ratings, work on nature and biodiversity, stewardship, and the social aspects of our strategy.

An experienced policy maker, Sara has undertaken a range of management roles, taking in the FCA's response to challenges from increases in the cost of living, the response to the Covid-19 crisis and has led mortgage and credit policy teams.

Sara is an experienced financial services policy professional, passionate about delivering a sustainable financial system that serves people and planet.

Panel 1: Investment selection, divestment and engagement are our dial shifting tools, but which should we use, when and why?

Miranda Beacham
Aegon
Asset Management

Amy Browne
CCLA
Investment Management

Megan Brennan
Sarasin & Partners

Adam Robbins
Triodos
Investment Management

Miranda Beacham
Head of ESG,
Aegon Asset Management

Miranda Beacham is head of ESG – equities and multi-asset responsible for ESG integration, voting and engagement. Her role involves overseeing the environmental, social and governance research process for ethical and sustainable funds. She also oversees the stewardship activities, supports the ESG integration processes and further research in ESG issues for all equity investments across the firm. Miranda is responsible for the monitoring and engagement of the ESG approaches and performance of investee companies in line with the firm's responsible investment policies. She leads engagement activities with public policy makers and investee companies on issues such as board structure, remuneration, environmental impact and social practice. She sits on the stewardship committee and chairs the remuneration and share schemes committees at the Investment Association in the UK. Prior to joining the team, she was a research assistant in the UK equity team and she co-founded the UK responsible investment team in 2000. Miranda joined the industry and the firm in 1995. She studied chemistry at Napier University in Edinburgh and has the IMC professional qualification.

Amy Browne
Stewardship Lead,
CCLA Investment Management

Amy is part of CCLA's Sustainability team, working to develop, embed and promote ethical and responsible investment principles and behaviours across all business activities. She also works with CCLA's Investment team to deliver engagement with investee companies, with a specific focus on health, including mental health. Amy has 12 years' experience in investing for charities and non-profit organisations. She joined CCLA in 2020 from the charities team at Cazenove, having started her career at Sarasin & Partners.

Amy has a BA (first class honours) in history from the University of York. She is a Chartered Fellow of the Chartered Institute for Securities and Investment. She holds the Private Client Investment Advice & Management Certificate, the Investment Advice Diploma, the CFA Society of the UK Investment Management Certificate and the CFA Certificate in ESG Investing.

Megan Brennan

Fund Manager, Multi Asset & Global Equities, Sarasin & Partners

Megan is co-portfolio manager on the Sarasin Tomorrow's World Multi-Asset Fund. She is also deputy fund manager of the Sarasin Responsible Global Equity Fund, Sarasin Thematic Global Equity Fund and Sarasin IE Global Equity Opportunities Fund.

Prior to joining Sarasin, Megan spent 4 years at Coutts & Co working as an Assistant Fund Manager for the Coutts Multi Asset Funds.

Megan is a graduate of Trinity College Dublin with a degree in Business, Economics & Social Studies. Megan also holds the Private Client Investment Advice and Management certificate (PCIAM) as well as the Investment Advice Diploma (IAD) and is a CFA® charterholder.

Adam Robbins
Investor Relations Manager,
Triodos Investment Management

With over 20 years’ experience in senior distribution and key account management roles, Adam took the decision to join an organisation that focussed on having a positive impact that enabled him to align his career with his personal values. Having joined Triodos IM almost 7 years ago Adam now heads the pan European sales team and is responsible for the distribution of both public and private strategies into the UK market across all channels, and therefore works closely with the portfolio managers and analysts.

Outside of work Adam enjoys spending time with his wife and daughter walking the countryside and exploring new cities both in the UK and abroad and tries to find time to cycle run and play tennis.

Panel 2: Measuring and managing ESG risks. What are the biggest risks we face and how helpful are ratings and data services?

Glenn Anderson
Fidelity International

Suresh Mistry
Alquity
Investment Management

Alex Game
Unicorn
Asset Management

Clare Wood
Stewart
Investors

Glenn Anderson
Associate Director of Sustainable Investing Strategy, Fidelity International

Glenn joined Fidelity International in 2021. Based in London, he focuses on sustainability innovation and integration into investment processes, development of thematic capabilities, identifying sustainable investment trends, and strategic opportunities. He also represents Fidelity’s sustainable investment capabilities externally and is a member of the Climate Financial Risk Forum advising the FCA.

Glenn has previous experience across the buy-side as an ESG Solutions Specialist, the UN Principles of Responsible Investment and Bank of Latvia. He has also been a contributing author to the EU Technical Expert Group and World Economic Forum.

Glenn graduated from Bayes Business School with a degree in Banking and International Finance and holds a certificate in data science from Imperial College.

Suresh Mistry
Head of Sustainability,
Alquity Investment Management

Suresh was instrumental in developing the unique Alquity Virtuous Circle business model that for the first time merged responsible investing and impact, with better returns for investors. The model is based on Suresh's expertise in business strategy developed over 25 years and his role as a non-executive director of One Water, the ethical water brand that has provided over $25m to clean water projects in Africa.

At Alquity, Suresh works with the investment team to enhance and direct the development of our ESG integration ensuring that Alquity leads the industry in both thinking and practice. Recent developments include Key Progress Indicators that track engagement activity in areas such as water usage and biodiversity as well as developing Alquity's approach to investing in high risk sectors such as animal agriculture and extractives. Suresh's key strength as a communicator enables him to help and support Alquity clients to build their own ESG and impact profile.

Suresh leads Alquity's PRI reporting (triple A+ in 2020), the ISR Label certification process as well as our SFDR and EU taxonomy reporting. Suresh is the author of the Alquity Impact Report and numerous articles on ESG and related industry issues. Suresh also runs the Alquity ESG Masterclass.

Alex Game
Fund Manager & ESG Officer,
Unicorn Asset Management

Alex joined Unicorn in 2014 and is co-manager of the Unicorn UK Growth fund, Unicorn UK Smaller companies fund, AIM IHT & ISA Portfolio Service as well as collaborating with the Investment team across the OEIC, AIM VCT and managed accounts. As ESG Officer Alex has led the development of Unicorn's approach to responsible investing, having previously worked as a Client Advisor at Stanhope Capital with a focus on Charity clients. Alex graduated from Durham University in 2010.

Clare Wood
Portfolio Specialist,
Stewart Investors

Clare joined the Stewart Investors team in March 2023 as a portfolio specialist. Previously, Clare worked in several roles in First Sentier Investors including Head of Investment Assurance and Global Head of Product and prior to that worked in investment risk, investment analysis and portfolio management. Clare holds a First Class Honours degree in Pure and Applied Mathematics and a PhD in Automatic Control and Systems Engineering with a focus on machine learning from the University of Sheffield. She has lived and worked in Bermuda and Edinburgh, and now lives in London.

13.10 – 14.15: Lunch break

13.35 - 14.05: ‘Almost open mic’





Chair:
Suresh Mistry
Alquity Investment Management

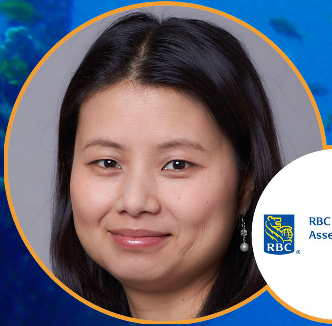
Louisiana Salge
EQ Investors

Rowan Stone
Virtuvest

Tom Buffham
Brewin Dolphin

Seb Elwell
Switchfoot Wealth IFA

Panel 3: Shifting the circular economy dial – what are the challenges and opportunities?



My-Linh Ngo
BlueBay
Asset Management

Michael Rae
M&G Investments

Gabriel Micheli
Pictet
Asset Management

My-Linh Ngo
Senior Director & Impact-Aligned Strategist,
BlueBay Asset Management

My-Linh is Senior Director & Impact-Aligned Strategist in the Responsible Investment (RI) team at RBC Global Asset Management (RBC GAM), with lead responsibility for ESG integration and stewardship across the firm's global fixed income assets, including BlueBay fixed income. She is also a sustainability strategist for the impact-aligned bond strategy managed on the BlueBay fixed income investment platform. My-Linh represents RBC GAM and RBC BlueBay (RBC GAM's business outside North America) externally in a range of committees and working groups focused on driving RI best practice in the fixed income asset class. She has over two decades of experience working in the RI industry, joining BlueBay Asset Management (which is now part of RBC GAM) in 2014. Prior to this, My-Linh was at Schroders Investment Management Ltd as an ESG Analyst, and at Henderson Global Investors as an Associate Director – SRI Research.

Michael Rae
Climate Solutions Strategy Fund Manager,
M&G Investments

Michael Rae was appointed fund manager of the M&G Climate Solutions strategy in February 2023. Michael, who had been working as a senior analyst in the Sustain and Impact equity team with a focus on energy, climate and environmental stocks since 2022, joined M&G in September 2019 as an analyst covering global energy and chemicals. Previously, Michael worked at Redburn where he was a partner on the energy team, with a focus on energy and alternative energy stocks. He joined Redburn in 2015 from Goldman Sachs, where he had been working for seven years. Michael began his career by completing the graduate programme at Wood Mackenzie, covering Alaska and Canada. He has a first class degree in Mathematics from the University of Edinburgh.

Gabriel Micheli
Senior Investment Manager,
Pictet Asset Management

Gabriel Micheli joined Pictet Asset Management in 2006 and is a Senior Investment Manager in the Thematic Equities team.

Gabriel is the lead manager of the ReGeneration strategy which was launched at the end of 2022. He has been co-managing the Global Environmental Opportunities strategy since its inception in 2014. Prior to that Gabriel co-managed the Timber strategy from 2008 to 2018, where he has kept an advisory role, and was co-manager of the Clean Energy strategy from 2007 to 2010.

Gabriel is a Chartered Financial Analyst (CFA) charter holder. He graduated with a degree in Economics from the University of St. Gallen.

Panel 4: If sustainable funds are to help deliver the positive impacts and outcomes many clients desire, asset selection is crucial.

George Latham
WHEB
Asset Management

Harriet Parker
Liontrust
Asset Management

Alice Evans
Columbia Threadneedle
Investments

Kate Elliot
Greenbank

George Latham

Managing Partner & Chief Risk Officer,

WHEB Asset Management

George has spent over 25 years at the forefront of the sustainable investment industry. Since 2012 he has been Managing Partner and Chief Risk Officer at WHEB Asset Management.

Before that, he led the sustainable investment team at Henderson Global Investors, which established the positive impact investment strategy now continued at WHEB. He was also responsible for designing and launching Threadneedle Asset Management’s sustainable investment strategy in the late 1990s.

George has a degree in Geography from Oxford University, served as a British Army infantry officer, and is an Associate of the CFA UK and an ambassador for B Corps UK.

Harriet Parker
Partner & Investment Manager,
Liontrust Asset Management

Harriet Parker is an Investment Manager on the Liontrust Sustainable Investment team with responsibility for identifying sustainable and responsible companies with attractive valuations. She joined Liontrust in April 2017 as part of the acquisition of Alliance Trust Investments (ATI). Harriet started her career in the investment industry in 2004 at Aviva Investors. Previously, she worked as a Research Assistant at the Department of Economics and Centre for Market and Public Organisation at the University of Bristol, where she researched regulatory opportunism and asset valuation. Harriet holds a BSc in Economics from the University of Bristol and holds the Investment Management Certificate (IMC).

Alice Evans
Responsible Investment Strategist,
Columbia Threadneedle Investments

Alice Evans is a Managing Director and Strategist in the Responsible Investment team, leading the Social pillar of the programme. She joined Columbia Threadneedle through the acquisition of BMO GAM (EMEA) in 2021, having previously been with BMO since 2010. Between 2018 and 2022 Alice was Co-Head of Responsible Investment, focusing on Active Ownership and ESG Integration, and from 2010 to 2016 she was a fund manager, most recently as Co-Lead Manager of the Responsible Global Equity Fund.

Prior to joining the group, Alice gained ten years of investment experience, initially at JP Morgan Asset Management then at Henderson Global Investors, as a fund manager on the Sustainable & Responsible Investment funds and a healthcare sector specialist.

Outside Columbia Threadneedle, Alice is a panel member for a funding programme of the National Institute of Health Research.

Alice holds an MSci in Physics from the University of Bristol and is a CFA Charterholder.

Kate Elliot

Head of Ethical, Sustainable & Impact Research, **Greenbank**

Kate is head of Greenbank's ethical, sustainable and impact research team. She oversees the development and implementation of the team's sustainability assessment framework, analysing investments against a range of environmental, social and governance criteria. She also monitors emerging sustainability themes, sets priorities for Greenbank's stewardship and engagement activities and has developed the team's systems for the measurement and reporting of portfolio sustainability and impact performance. She joined Rathbones in 2007 after graduating from the University of Bristol with a Masters in Philosophy and Mathematics and sits on Rathbones' Responsible Investment and Diversity, Equality and Inclusion Committees.

Keynote Address

Joanne Etherton
Head of Purposeful Markets,
ClientEarth

Joanne Etherton

Head of Purposeful Markets, ClientEarth

Joanne Etherton is an experienced City lawyer and is ClientEarth's Head of Purposeful Markets, which involves leading a team of more than 30 lawyers. The Purposeful Markets team develops and implements legal strategies based on corporate, consumer and financial laws designed to contribute to the rapid emergence of new models of corporate governance and investment that operate within planetary boundaries. The team also ensures corporates and those who finance them are held accountable for their negative impact on the environment and stop corporate greenwashing activities. The team also advocates for new financial laws and their proper enforcement and works with those taking a lead in responsible transition. Prior to joining ClientEarth in 2017, Joanne was a pensions partner in the London office of an international law firm.

Panel 5: Fund manager stories
‘Something I care about, and am working on’

Caroline Langley
Quilter Cheviot
Investment Management

George Crowdy
Royal London
Asset Management

David Harrison
Rathbones Group

Caroline Langley
Investment Director,
Quilter Cheviot Investment Management

Caroline has close to 20 years' investment experience, with most of her expertise acquired at Quilter Cheviot, where she has been employed since 2006. She is the Deputy Fund Manager of the Climate Assets Funds and manages private client portfolios, collaborating directly with clients or with advisors. Her investment qualifications and extensive experience have led her to be a Chartered Fellow of the Chartered Institute for Securities & Investment (CISI)

George Crowdy
Sustainable Fund Manager,
Royal London Asset Management

George joined Royal London Asset Management in February 2020 as a Fund Manager on the Sustainable Investment Team and is co-managing the Global Sustainable Equity and Sustainable Growth Funds and Sustainable Leaders, World and Diversified trusts alongside Mike and Sebastien. Prior to this, George worked as an Investment Manager on the Global Sustainable Equity Team at Janus Henderson having joined Janus Henderson in 2010 as a graduate. George is a CFA Charterholder and has a BSc Economics and Finance from the University of Southampton.

David Harrison

Fund Manager,
Rathbone Greenbank Global Sustainability Fund,
Rathbones Group

David joined Rathbones in June 2014 and is the lead fund manager of the Rathbone Greenbank Global Sustainability Fund. He has more than 20 years' experience in equity analysis and fund management, including positions at Hermes and Goldman Sachs. David holds the Investment Management Certificate and a BSc (Hons) in Economics and Politics from the University of Southampton. He is a CFA charterholder.

Expert Presentation: An actuary's view of Climate Risk

Mike Clark

Founder Director, Ario Advisory

Mike Clark
Founder Director,
Ario Advisory

Mike founded Ario Advisory, a responsible investment advisory firm, five years ago. An actuary, and “his own IFA”, his background is investment management, insurance, governance and risk. He recently stood down as a NED from the board of Brunel (LGPS), and has served five years (also just ended) on the WHEB Asset Management independent Advisory Committee.
He works with asset owners, policymakers and regulators, and other investment actors, with a focus on the financial risks of climate change. He looks to increase the influence of parliamentary Select Committees on climate issues. He authored the IFoA (actuaries) curated reading list on climate risk.

Next Steps

Julia Dreblow

Founding Director, SRI Services

Thank you for joining us

www.sriServices.co.uk

For information only. We are not regulated or authorised to offer advice.

Event partners:

Aegon Asset Management · Alquity · RBC BlueBay Asset Management · CCLA · Columbia Threadneedle Investments · Fidelity International · Greenbank · Liontrust · Janus Henderson Investors · M&G Investments · Pictet Asset Management · Quilter Cheviot Investment Management · Rathbones · Royal London Asset Management · Sarasin & Partners · Stewart Investors · Triodos Investment Management · Unicorn · WHEB

Keynotes:

FCA Financial Conduct Authority · ClientEarth · ARIO

Part of:

UKSIF's Good Money Week

Organising partner:

Reset Connect

CPD accreditation:

CISI Endorsed CPD

sri services · fund eco market · Dialshifter